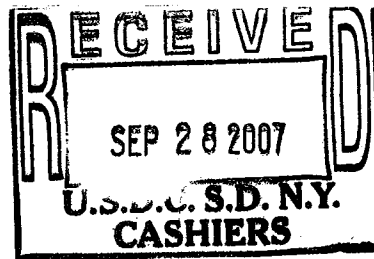


Jon Schuyler Brooks (JB7218)
jbrooks@phillipsnizer.com
PHILLIPS NIZER LLP
666 Fifth Avenue
New York, New York 10103-0084
(212) 977-9700
Attorneys for Plaintiff



JUDGE STEIN

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
A.W. FINANCIAL SERVICES, S.A., as successor
in interest to TERTIAIRE INVESTISSEMENT, S.A.

ECF CASE

RULE 7.1 STATEMENT

Plaintiff,

v.

In No. 07-CV-8491

EMPIRE RESOURCES, INC.,
AMERICAN STOCK TRANSFER & TRUST COMPANY,
and AFFILIATED COMPUTER SERVICES, INC.,

Defendants.

-----X

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for A.W. Financial Services, S.A. (a non-governmental party), certifies that it has *no* corporate parents, affiliates and/or subsidiaries which are publicly held.

Dated: New York, NY
September 28, 2007

PHILLIPS NIZER LLP

By: Jon Schuyler Brooks
Jon Schuyler Brooks (JB7218)
Attorney for Plaintiff

666 Fifth Avenue
New York, NY 10103
(212) 977-9700
(212) 262-5152 (Fax)